

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Drewe et al.

Appln. No.: 09/705,840

Filed: November 6, 2000

For: Substituted 4H-Chromene and Analogs as Activators of Caspases and Inducers of Apoptosis and the

Use Thereof

Confirmation No.: 8076

Art Unit: 1625

Examiner: Robinson, Binta M.

Atty. Docket: 1735.0410002/RWE/CJW

Assertion of Small Entity Status under 37 C.F.R. § 1.27(c)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Mail Stop RCE

Sir:

This application is entitled to small entity status and the same is respectfully asserted.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond Attorney for Applicants Registration No. 32,893

Date: <u>March 31, 2004</u>

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

242353_1.DOC





Robert Greene Sterne Edward J. Kessler Jorge A. Goldstein David K.S. Cornwell Robert W. Esmond Robert W. Esmond Tracy-Gene G. Durkin Michele A. Cimbala Michael B. Ray Robert E. Sokohl Eric K. Steffe Michael Q. Lee Steven R. Ludwig John M. Covert Linda E. Alcorn Robert C. Millonig Donald J. Featherstone Lawrence B. Bugaisky Michael V. Messinger

Judith U. Kim Timothy J. Shea, Jr. Patrick E. Garrett Heidi L. Kraus Edward W. Yee Albert L. Ferro* Donald R. Banowit Peter A. Jackman Teresa U. Medler Jeffrey S. Weaver Kendrick P. Patterson Vincent L. Capuano Eldora Ellison Floyd Thomas C. Fiala Brian J. Del Buono Virgil Lee Beaston Kimberly N. Reddick

Elizabeth J. Haanes Joseph S. Ostroff Frank R. Cottingham Christine M. Lhuller Rae Lynn Prengaman Jane Shershenovich* George S. Bardmesser Daniel A. Klein* lason D. Fisenberg Michael D. Specht Andrea J. Kamage Tracy L. Muller* LuAnne M. DeSantis John J. Figueroa Ann E. Summerfield Tiera S. Coston Jessica L. Parezo

Timothy A. Doyle' Gaby L. Longswor Nicole D. Dretar* worth* Ted I Fhersole Jyoti C. Iyer

Registered Patent Agents • Karen R. Markowicz Karen R. Markowicz Nancy J. Leith Helene C. Carlson Matthew J. Dowd Aaron L. Schwartz Katrina Y. Pei Quach Bryan L. Skelton Robert A. Schwartzman Teresa A. Colella Teresa A. Colella Jeffrey S. Lundgren Victoria S. Rutherford

Eric D. Hayes Michelle K. Holoubek Michelle K. Holoubek Robert H. DeSelms Simon J. Elliott Julie A. Heider Mita Mukherjee Scott M. Woodhouse

Of Counsel Kenneth C. Bass III Evan R. Smith Marvin C. Guthrie

*Admitted only in Maryland *Admitted only in Virginia •Practice Limited to Federal Agencies

March 31, 2004

WRITER'S DIRECT NUMBER: (202) 772-8560 INTERNET ADDRESS: RESMOND@SKGF.COM

Mail Stop RCE

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Allowed Utility Patent Application

Appl. No. 09/705,840; Filed: November 6, 2000

Substituted 4H-Chromene and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof

Inventors:

Drewe et al.

Our Ref:

1735.0410002/RWE/CJW

Sir:

In response to the **Notice of Allowance and Issue Fee Due** dated December 31, 2003, the following documents are forwarded for appropriate action by the U.S. Patent and Trademark Office:

- 1. Fee Transmittal (Form PTO/SB/17);
- 2. Assertion of Small Entity Status under 37 C.F.R. § 1.27(c);
- 3. Request to Withdraw from Issue under 37 C.F.R. § 1.313(a) For Consideration of a Request for Continued Examination;
- 4. Request For Continued Examination (RCE) Transmittal (Form PTO/SB/30);
- 5. Fourth Supplemental Information Disclosure Statement;
- 6. Form PTO-1449 (4 sheets) and accompanying (27) references;
- 7. Return postcard; and
- PTO-2038 Credit Card Payment Form for \$385.00 to cover the fee for 8. filing a Request for Continued Examination.

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

Commissioner for Patents March 31, 2004 Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond

Attorney for Applicants Registration No. 32,893

RWE/CJW/dab 247069_1.DOC Enclosures